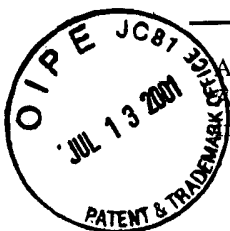


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Atty. Dkt. No: 5181-41201
 Application No: 09/415,426
 Inventor(s):
 Jeremy B. Rolls, Michael J. Bushue,
 Rhod J. Jones and Stepan Tatulian

Title: FAN CONTROL MODULE
 FOR A SYSTEM UNIT

Examiner: F. Fleming
 Group/Art Unit: 2836

§
§
§
§
§
§
§
§
§
§
§
§

7
 5-19-02
 RECEIVED
 JUL 19 2001
 TECHNOLOGY CENTER
 CERTIFICATE OF EXPRESS MAIL
 UNDER 37 C.F.R. § 1.10
 "Express Mail" mailing label number: EL893867353US
 DATE OF DEPOSIT: July 12, 2001
 I hereby certify that this paper or fee is being deposited with the
 United States Postal Service "Express Mail Post Office to
 Addressee" service under 37 C.F.R. § 1.10 on the date indicated
 above and is addressed to:
 Commissioner for Patents
 Box CPA
 Washington, DC 20231
 Paul Kennedy

J1003 U.S. PTO
 10/032808



INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents
 Washington, D.C. 20231

AUG 14 2001

OFFICE OF PETITIONS
 DEPUTY A/C PATENTS

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449
 and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on
 the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
☐ before the mailing date of a first Office Action on the merits; or
☒ before the mailing of a first Office Action after the filing of a Continued Prosecution Application.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.

2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

- ☒ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-41200/BNK.

Signature

Name

Registration No.

Date

33,929

July 12, 2001


B. Noël Kivlin

Form PTO-1449 (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTORNEY: DKT. NO. 5181-41201

APPLICANT: Rolls, et al

FILING DATE: October 8, 1999

SERIAL NO. 09/415,426

GROUP: 2836

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	5,974,557	10/26/99	Thomas, et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)